[Published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (ii)]

Government of India Ministry of Small Scale Industries

New Delhi, the 30 September, 2006

NOTIFICATION

S.O. 1643 (E)- In exercise of the powers conferred by sub-section (2) of section 8 of the Micro, Small and Medium Enterprises Development Act 2006 (27 of 2006) herein referred to as the said Act, the Central Government, after obtaining the recommendations of the Advisory Committee in this behalf, hereby provides for the format of memorandum and procedure of its filing and other matters incidental thereto for the purpose of the said Act, namely:-

Schedule I

Form No. -----

ENTREPRENEURS MEMORANDUM FOR SETTING UP MICRO, SMALL OR MEDIUM ENTERPRISE

GENERAL INSTRUCTIONS.

- 1. MEMORANDUM IS TO BE FILED WITH THE DISTRICT INDUSTRIES CENTRE* BY A MICRO, SMALL OR MEDIUM ENTERPRISE, AS THE CASE MAY BE, UNDER SUB-SECTION (1) OF SECTION 8 OF THE MICRO, SMALL AND MEDIUM ENTERPRISES DEVELOPMENT (MSMED) ACT, 2006.
- 2. FOUR COPIES OF THE MEMORANDUM SHOULD BE FILED.
- 3. THERE IS NO FEE FOR PROCESSING THE MEMORANDUM.
- 4. EXISTING UNITS SHOULD FILL UP ONLY PART II OF THE MEMORANDUM.
- 5. IN CASE OF ANY CHANGE IN THE INFORMATION, AT ANY POINT OF TIME, PLEASE INFORM THE DETAILS WITHIN THREE MONTHS TO DISTRICT INDUSTRIES CENTRE.
- 6. WRITE / TYPE IN BLOCK (CAPITAL) LETTERS
- 7. LEAVE ONE BLANK BOX AFTER EACH WORD.
- 8. FILL UP WHICHEVER IS APPLICABLE.

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	(iv)	EQUIPMENT (In case of service enterprise)	V	AL	UE*	:							
	(v)	FOREIGN EQUITY, IF ANY	V	AL	UE*	:							
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17.		PRENEURS' PROFILE (OF ALL NISATION-USE SEPARATE SH)RS (OF 7	ГНЕ	E		
	(a) N	NAME											
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(ii) SC (1) / ST (2) / OBC (3) / OTHERS (4) PHYSICALLY CHALLENGED (5)	
(iii) KNOWLEDGE LEVEL [TECHNICAL GRADUATE- 1, MANAGEMENT GRADUATE-2, POST GRADUATE-3,OTHER GRADUATE-4, UNDER-GRADUATE ANY OTHER LOWER-6]	-5,
(iv) EQUITY PARTICIPATION (in Rupees)	
(Percentage of total equity)	
(v) STAKE IN OTHER MANUFACTURING ENTERPRISES (Yes-1, No-2) [ADD ADDITIONAL SHEET, IF NEEDED]	
18. EXPECTED SCHEDULE OF COMMENCEMENT OF PRODUCTION / A M M Y	
DATE:	
PLACE: [SIGNATURE OF THE APPLICANT /AUTHOR	RISED PERSON]
NAME OF THE PROPRIETOR/PARTNER/ MANAG	ING DIRECTOR
 (a) Enclose a self-certified copy of Power of Attorney/Board Resolution/So wherever applicable, while signing as Partner/Managing Director or Authority (b) Enclose a certified/notarized copy of the Partnership Deed/Massociation/Articles of Association in case of Medium Enterprises. 	ised Person.
<u>Undertaking</u>	
This is to certify that the information furnished in the memorandum is true and correct to the best of my knowledge and belief.	m in Form No.
DATE: PLACE:	
[SIGNATURE OF THE APPLICANT /AUTHORISED PER	SON]

	<u>A0</u>	CKNOWLEDG	<u>EMENT</u>	Form No
EXPRESSING AN ENTERPRISE	ITS AT	INTENT THE	TO (MANUFA ADDRESS	LED MEMORANDUM SET UP ACTURING/SERVICE)
	FORM NO			PIN D ENTREPRENEURS'
DATE OF ISSUE			D D M M	I Y Y Y Y
CATEGORY OF TH	E UNIT			
(MANUFACTURIN	IG-1, SERVICE	S-2)		
(MICRO-1, SMALL	–2, MEDIUM –	3)		
ENTREPRENEURS	' MEMORAND	UM NUMBER		
and seventh boxes are	e for category of or indicating m	enterprise (sixthicro or small o	box for indicting m	for District code, sixth nanufacturing or service ast five boxes are for
DATE: PLACE:				
				SIGNATURE WITH OFFICE SEAL

production / activity)

(To be filled up and submitted to the District Industries Centre after commencement of

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5.	CATEGORY OF ENTER				2)										
	(MICRO-1 SMALL -2	MHI	1111	/I	۲١.									I	

6.	NATURE OF ACTIVITY [Tick Appropriate Box(s)]
	(i) MANUFACTURE
	(ii) SERVICE
	(iii)OTHERS
	In case of others, please specify:
7.	NATURE OF OPERATION
1.	NATURE OF OPERATION (Perennial-1, Seasonal-2, Casual-3)
8.	WHETHER THE UNIT IS AN ANCILLARY (Yes-1, No-2)
9.	M M Y Y Y Y MONTH OF INSTALLATION OF PLANT AND MACHINERY
10.	WHETHER THE UNIT IS REGISTERED UNDER FACTORY ACT (Under Section 2m(i)/2m(ii)-1, 85)I)/85(ii)-2, not registered -3)
OP	TYPE OF ORGANIZATION [PROPRIETORY-1, HINDU UNDIVIDED FAMILY –2, PARTNERSHIP-3, COERATIVE –4, PRIVATE LIMITED COMPANY -5, PUBLIC LIMITED COMPANY-6, SELF-LIP GROUP-7, OTHERS-8]
12.	(a) MAIN MANUFACTURING/SERVICE ACTIVITY
	NAME
	CODE (NIC 98*)
	(b) PRODUCTS TO BE MANUFACTURED/SERVICE TO BE PROVIDED
	(i) NAME
	CODE (ASICC2000*)
	(ii) NAME
	CODE (ASICC2000*)

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16 .	(a) (i) OTHER SOURCE OF ENERGY/POWER [IF REQUIRED] (NO POWER NEEDED –1, COAL-2, OIL-3, LIQUID PETROLIUM GAS-4, ELECTRICITY
	FROM GRID-5, ELECTRICITY FROM GENERATOR- 6, NON-CONVENTIONAL ENERGY –7,
	TRADITIONAL ENERGY/FIREWOOD-8)
	(ii) If no power required, specify reasons;
	(b) INDICATE ANNUAL REQUIREMENT SOURCE OF ENERGY QTY UNITS
17.	EMPLOYMENT
	MALE FEMALE (Nos.) (Nos.)
	(i) MANAGEMENT AND OFFICE STAFF
	(ii) SUPERVISORY
	(iii) WORKERS
18.	TOTAL ANNUAL TURNOVER (in Rupees) (If less than one year of operation, then expected turnover)
19.	EXPORT (if any) (in Rupees)
20.	ENTREPRENEURS' PROFILE (OF ALL PARTNERS/DIRECTORS OF THE ORGANISATION- USE SEPARATE SHEETS, IF NEEDED)
	(a) NAME
	(i) MALE (M) / FEMALE (F)
	(ii) SC (1) / ST (2) / OBC (3) / OTHERS (4) PHYSICALLY CHALLENGED (5)
	(iii) KNOWLEDGE LEVEL [TECHNICAL GRADUATE- 1, MANAGEMENT GRADUATE-2, POST GRADUATE-3, OTHER GRADUATE-4, UNDERGRADUATE-5, ANY OTHER LOWER -6]

(iv) EQUITY PARTICIPATION (in Rupees)	
(in percentage of total equity)	
(v) STAKE IN OTHER MANUFACTURING ENT (Yes-1, No-2)	TERPRISES
[ADD ADITIONAL SHEET, IF NEEDED]	
21. DATE OF COMMENCEMENT OF PRODUCTION / AC	CTIVITY D D M M Y Y Y Y
DATE: PLACE: [SIGNATURE OF THE APPL	JCANT /AUTHORISED PERSON]
NAME OF THE PROPRIETOR/PA	RTNER/ MANAGING DIRECTOR
 (a) Enclose a self-certified copy of Power of Attorney/Board wherever applicable, while signing as Partner/Managing (b) Enclose a certified/notarized copy of the Partnership Dee association/Articles of Association in case of Medium En 	Director or Authorised Person. d/Memorandum of
This is to certify that the information furnished in is true and correct to the best of my known	
DATE: PLACE: [SIGNATURE OF THE APPLICANT /AI	UTHORISED PERSON]

Form No ACKNOWLEDGEMENT		
M/s	PRISE	
PIN STATED IN FORM NO AND ALLOCATED EM NO. AS BELOW:		
D D M M Y Y Y Y DATE OF ISSUE		
CATEGORY OF THE UNIT		
(MANUFACTURING-1, SERVICES-2)		
(MICRO-1, SMALL –2, MEDIUM – 3)		
ENTREPRENEURS MEMORANDUM NUMBER		
(First two boxes are for State/Union Territory code, next three boxes are for District code and seventh boxes are for category of enterprise (sixth box for indicting manufacturing or s and seventh box for indicating micro or small or medium) and last five boxes as	service	

15

SIGNATURE

WITH OFFICE SEAL

Entrepreneurs' Memorandum number)

DATE: PLACE:

Schedule II

Procedure of Filing of Entrepreneurs Memorandum and other matters, incidental thereto

- 1. Form of the Entrepreneurs Memorandum can be downloaded from the internet, the address of which can be obtained from the Directorate dealing with Micro, Small and Medium Enterprises of the State Governments or the Union Territories or the hard copies of the same can be obtained from the District Industries Centres. This form can also be downloaded from the Small Industries Development Organisation website i.e. www.laghu-udyog.com or www.smallindustryindia.com
- 2. Any person who intends to establish a micro or small enterprise, at his discretion; or a medium enterprise engaged in providing or rendering of services may, at his discretion or a medium enterprise engaged in the manufacture or production of goods shall file the Memorandum of Micro, Small or as the case may be, of Medium Enterprise with District Industries Centre of its area.
- 3. The District Industries Centre shall fill all the codes in the form of the Memorandum and issue an acknowledgement after allotting an Entrepreneur Memorandum number, date of issue and category of the unit within five days of the receipt of the form of Memorandum by post or same day, if the form of Memorandum is submitted in person as well as online.
- 4. Before issuing the acknowledgement, the District Industries Centres shall make sure that the form is complete in all respect and particularly the form is signed and is accompanied with an undertaking, which is a part of the form of Entrepreneurs Memorandum.
- 5. The District Industries Centre shall maintain record of all the Entrepreneurs Memorandum so filed in respect of micro, small and medium enterprises engaged in providing and rendering services. District Industries Centres shall forward a copy of the Entrepreneurs Memorandum so filed with Entrepreneur Memorandum number allotted to the Small Industries Service Institutes of their State or their Jurisdiction.
- 6. The District Industries Centre shall maintain record of all the Entrepreneurs Memorandum so filed in respect of medium enterprises engaged in production or manufacturing of products and forward one copy each of the Entrepreneurs Memorandum with Entrepreneur Memorandum number allotted to Small Industries Service Institutes of their State or their Jurisdiction and to Joint Development Commissioner (Micro,Small and Medium Enterprise Policy) in the Office of the Development Commissioner (Small Scale Industries).
- 7. The form of Memorandum is in two parts. Any person who intends to establish a micro, small or medium enterprise engaged in providing or rendering of services may file or those who want to establish medium enterprise engaged in the production or

manufacture of products shall file Part 1 of the Entrepreneurs Memorandum to District Industries Centre.

- 8. Once the above enterprises start production or start providing or rendering services, they shall file Part II of the Entrepreneurs Memorandum to District Industries Centre.
- 9. In case of non-filing of Part II of the Entrepreneurs Memorandum within two years of the filing of Part I, the Memorandum (Part I) filed by the entrepreneur will become invalid.
- 10. In case of change in the investment in plant and machinery or in equipment, the enterprises who have already filed Entrepreneurs Memorandum should inform the District Industries Centre of the same in writing within three month of the change in investment.
- 11. In case of change of products and that of services or addition in products or services, the enterprises which have already filed Entrepreneurs Memorandum shall inform the District Industries Centre of the same in writing within three months of the change.
- 12. The District Industries Centre shall, in addition of keeping a record, in writing, shall also maintain records electronically on computer.

[No.2(10)/2006 MSME Policy]

(JAWHAR SIRCAR)
Additional Secretary to the Government of India